

FORM PTO-1390
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

P150299

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/423,414

INTERNATIONAL APPLICATION NO.

PCT/CA98/00463

INTERNATIONAL FILING DATE

06 May 1998

PRIORITY DATE CLAIMED

07 May 1997

TITLE OF INVENTION

TELECONFERENCING ROBOT WITH SWIVELING VIDEO MONITOR

APPLICANT(S) FOR DO/EO/US

SMITH, Graham, Thomas, FELS, Deborah Ingrid and TREVIRANUS, Jutta

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (signed by all inventors)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - a) Verified Statement - Independent Inventor - signed
 - b) Verified Statement - Small Business Concern - Telbotics Inc.
(signed)
 - c) Verified Statement - Nonprofit Organization -
Ryerson Polytechnic University - (signed)
 - d) Verified Statement - Nonprofit Organization -
The University of Toronto - (signed)
 - e) Information Disclosure Statement, Form PTO-1449 and patent
and non-patent references

| | | | | | |
|---|--------------|--------------|------------|----------------------------------|----|
| 17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div> | | | | CALCULATIONS PTO USE ONLY | |
| | | | | \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | \$ 130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | - 20 = | | X \$18.00 | \$ | |
| Independent claims | - 3 = | | X \$78.00 | \$ | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$260.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ 130.00 | |
| Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). | | | | \$ 65.00 | |
| SUBTOTAL = | | | | \$ 65.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$ 65.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$ 65.00 | |
| | | | | Amount to be: | \$ |
| | | | | refunded | \$ |
| | | | | charged | \$ |

- a. ☒ A check in the amount of \$ 65.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 18-1350. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

RICHER, McKENZIE & HERBERT
Suite 2900, 2 Bloor Street East
Toronto, Ontario M4W 3J5
CANADA

SIGNATURE:

JEFF PERVANAS

NAME

41,543

REGISTRATION NUMBER

RICHES, MCKENZIE & HERBERT

BARRISTERS & SOLICITORS
PATENTS & TRADE MARK AGENTS

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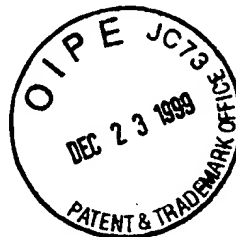
PATENT & TRADE MARK AGENT
A. LEONARD GROVE

December 22, 1999

CONTINUING THE PRACTICE OF
GEORGE H. RICHES AND ASSOCIATES
ESTABLISHED 1887

BOX PCT
Assistant Commissioner for Patents
Washington, D.C., U.S.A.
20231

Attn: Audrey Willis
Manager, National Phase Department



520 Rec'd PCT/PTO 23 DEC 1999

Re: SMITH, Graham Thomas, FELS, Deborah Ingrid and TREVIRANUS, Jutta
U.S. Patent Application Serial No. 09/423,414
National Phase Entry Date Under 35 U.S.C. 371: November 8, 1999
National Phase of International PCT Patent Application Serial
No. PCT/CA98/00463
Title: "Teleconferencing Robot With Swiveling Video Monitor"
International Filing Date: May 6, 1998 Our Ref.: P150299

Dear Sir/Madam:

Transmitted herewith to complete entry into the U.S. national phase under 35 U.S.C. 371 in the above stated names, are the following documents:

1. Supplemental transmittal letter to the United States designated office;
2. Declaration and Power of Attorney (3 pages) - signed by all inventors;
3. Declaration supporting a 50% fee reduction - independent inventor (1 page) - signed;
4. Declaration supporting a 50% fee reduction - small business concern - Telbotics Inc. (1 page) - signed;
5. Declaration supporting a 50% fee reduction - Ryerson Polytechnic University (1 page) - signed;
6. Declaration supporting a 50% fee reduction - The University of Toronto (1 page) - signed; and
7. Information Disclosure Statement and copies of patent and non-patent references listed in Form PTO-1449.

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65.00 OP

REMARKS

To meet the 30 month due date for entry of the national phase under 35 U.S.C. 371 in the United States, the government fee of \$460.00, a transmittal letter and unsigned copies of the above documents were filed on November 8, 1999. Also submitted on that date was a copy of the Declaration supporting a 50% fee reduction from Ryerson Polytechnic University and a copy of an Assignment, including U.S. recordation cover sheet Form PTO-1619A, and a Preliminary Amendment.

Pursuant to 37 C.F.R. 1.495(b), (c) and 1.497, it is respectfully submitted that the applicant is now completing national phase entry under 35 U.S.C. 371 by submitting the Declaration signed by all three inventors. The applicant is also submitting the government surcharge fee of \$65.00 pursuant to 37 C.F.R. 1.492(e) and 1.495(c)(2).

Pursuant to the rules, the applicant must file the signed Declaration and pay the government surcharge fee within a period of time set within a notification sent by the U.S. Patent Office. It is the applicant's understanding that the notification has not yet been mailed, and therefore the applicant is clearly within the time period for filing the signed Declaration.

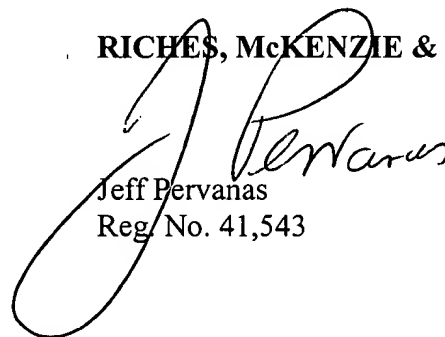
As all of the requirements for entry of the national phase under 35 U.S.C. 371 have now been met, the applicant respectfully requests that the Filing Certificate with respect to this application be issued as soon as possible.

Should any difficulties arise, or should the Patent Office have any questions, please contact the undersigned at 416-961-5000 as soon as possible. In particular, it is respectfully submitted that no Petition to revive under 37 C.F.R. 1.137(a) and (b) is required, however, if a Petition is required, the applicant hereby petitions to revive and restore the application to pending status and the applicant respectfully requests an opportunity to expand on its Petition in the future.

In addition, the Commissioner is hereby authorized to charge any additional fees which may be required to enter the national phase under 35 U.S.C. 371 or credit any overpayment to Deposit Account No. 18-1350. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

RICHES, MCKENZIE & HERBERT



Jeff Pervanas
Reg. No. 41,543

JP/caf
Encls.
Ret.Ack.Card.
Fee \$65.00
As Above.